

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	P-001
	First Inventor	Richard STOLES et al.
	Title	DISPLAY APPARATUS
	Express Mail Label No.	EV 076592878 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages <u>39</u>] <i>(preferred arrangement set forth below)</i> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>3/3Figs</u>] 5. Oath or Declaration [Total Sheets <u>2pgs</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: <u>As noted in attached cover letter.</u>
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number: 28752 OR ☒ Correspondence address below

Name	Lackebach Siegel, LLP		
Address	One Chase Road		
City	Scarsdale	State	NY
Country	USA	Telephone	914-723-4300
		Fax	914-723-4301

Name (Print/Type)	Andrew F. Young, Esq.	Registration No. (Attorney/Agent)	44,001
Signature	<i>[Signature]</i>	Date	3/26/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

17364 U.S. PTO

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 685.00)

Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Stolls et al.
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	P-001

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

10-0100

Lackebach Siegel, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 385.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
39	-20** = 19	X 9	= 171.
Independent Claims	6	-3** = 3	X 43 = 129
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

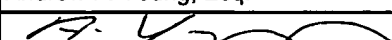
Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type)	Andrew F. Young, Esq.	Registration No. (Attorney/Agent)	44,001	Telephone	914-723-4301 Ext. 111
Signature		Date	3/28/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Lackebach Intellectual Property Siegel, LLP

ATTORNEYS SINCE 1923

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor :Richard STOLLS and Mike LARUSSO
Serial No. :unassigned
Filing Date :herewith
Title :DISPLAY APPARATUS
Examiner :Unassigned
Art Unit :Unassigned
Docket No. :P-001
Customer No. :28752

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in a prepaid postage envelope addressed to: Hon. Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date : March 26, 2004

By :Andrew F. Young, Esq.

Signature: 

Express No.:EV 076592878 US

Mail Stop - Patent Application - FEE

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 USA

TRANSMITTAL LETTER

Dear Sir:

Please find enclosed the following items:

1. Application Transmittal Letter - signed.
2. Return Receipt Postcard itemizing contents.
3. Application Fee - \$685.00, Our check no. 106762.
4. USPTO Patent Application Transmittal PTO/SB/05 -1 page signed.
5. USPTO Fee Transmittal PTO/SB/17 - 1 page signed.
6. Patent Application - 39 pages, 39 claims, 6 independent.
7. Drawings - 3 pages 3 Figs.
8. Declaration - original, 2 pages, signed by both inventors.
9. Assignment Transmittal Letter - 1 page signed (Addressed to Assignment Div.)
10. Assignment Recordation Form - PTO-1595 - 1 page signed
11. Assignment Document - 2 pages original signed
12. Assignment Fee - \$40.00, Our check no. 106763.

Respectfully submitted,

LACKENBACH SIEGEL LLP

Attorneys for Applicant(s)

By: 
ANDREW YOUNG Reg. No.: 44,001

Enclosures: as noted

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Lackebach
INTELLECTUAL PROPERTY
ATTORNEYS SINCE 1923 **Siegel, LLP**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

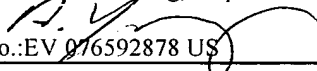
Inventor : Richard STOLLS and Mike LARUSSO
Serial No. : unassigned
Filing Date : herewith
Title : **DISPLAY APPARATUS**
Examiner : Unassigned
Art Unit : Unassigned
Docket No. : P-001
Customer No. : 28752

CERTIFICATE OF EXPRESS MAILING

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Date : March 26, 2004

By : Andrew F. Young, Esq.

Signature: 

Express No.: EV 076592878 US

Mail Stop - **ASSIGNMENT DIV - FEE**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450 USA

TRANSMITTAL LETTER

Dear Sir:

Please find enclosed the following items:

1. Assignment Transmittal Letter - 1 page (this page) signed (Addressed to Assignment Div.) (as earlier noted)
2. Assignment Recordation Form - PTO-1595 - 1 page signed (as earlier noted)
3. Assignment Document - 2 pages original signed (as earlier noted)
4. Assignment Fee - \$40.00, Our check no. 106763 (as earlier noted)

Respectfully submitted,

LACKENBACH SIEGEL LLP

Attorneys for Applicant(s)

By: 

ANDREW YOUNG Reg. No.: 44,001

Enclosures: as noted

O:\I Documents\Trimco, Inc\2004\afy\AP-001.Assignmnt transmittal LTR.wpd